

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am an original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**POLYCATIONIC STEROL DERIVATIVES  
AS TRANSFECTION AGENTS**

the specification of which was amended and filed with the U.S. Patent Office on November 23, 1998.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37 Code of Federal Regulations §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code §119, of any foreign applications for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

British No. 9610944.2  
filed May 24, 1996

British No. 9705498.5  
filed March 17, 1997

PCT/GB97/01426  
filed May 23, 1997

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

None.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

None.

I hereby appoint the following attorneys to prosecute this application and to transact all business in the U.S. Patent Office connected therewith:

Steven M. Auvil, Reg. No. 40,492  
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Andrew D. Miller

Inventor's signature A. D. Miller A. D. Miller

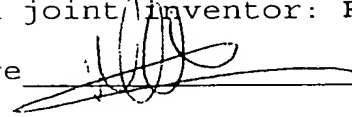
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Citizenship: British

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Full name of second joint inventor: Robert G. Cooper

Inventor's signature 


Date: 7/2/99

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Full name of third joint inventor: Christopher J. Etheridge

Inventor's signature 

Date: 29/01/99

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Citizenship: British

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ASSIGNMENT

WHEREAS we, Andrew D. Miller of Exhibition Road, London SW7 2AZ, England; Robert G. Cooper of Exhibition Road, London SW7 2AZ, England; and, Christopher J. Etheridge of Exhibition Road, London SW7 2AZ, England, having invented certain new and useful improvements in

**POLYCATIONIC STEROL DERIVATIVES AS TRANSFECTION AGENTS**

said application having been filed in the U.S. Patent Office on November 23, 1998, do hereby, in consideration of One Dollar (US\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, sell, assign, and transfer unto

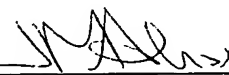
Imperial College of Science Technology and Medicine  
a British Corporation, having a principal place of business at:

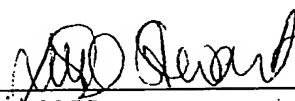
Sherfield Building  
Exhibition Road  
London SW7 2AZ  
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the full and exclusive right, title and interest in and to the said invention in the United States and its territorial possessions, and in all foreign countries with all rights under the International Convention including the right to claim priority, and the entire and exclusive right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries, and in and to any and all divisions, reissues, and continuations, and extensions thereof.

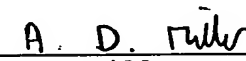
We therefor authorize and request the Patent Office officials in the United States and in any and all foreign countries to issue any and all Letters Patent, when granted, solely to the said:

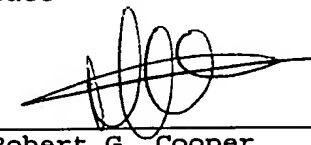
Imperial College of Science Technology and Medicine  
for its sole use, its successors, and assigns.


  
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Witness  
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